

Express Mail Label No.: EM266573398US  
Date of Deposit: August 11, 2009

Attorney Docket No.: 33841-514001US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

---

APPLICANTS :	Steffen Panzner, <i>et al.</i>	CONFIRMATION NUMBER :	N/A
PATENT NUMBER:	7,371,404	EXAMINER :	N/A
FILING DATE :	February 21, 2002	ART UNIT :	N/A
FOR : Amphoteric Liposomes And Their Use			

---

**MAIL STOP PETITION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST TO CORRECT INVENTORSHIP IN A PATENT  
UNDER 37 C.F.R. §1.324**

Applicants respectfully request correction of the inventorship in the above-identified patent application pursuant to 37 C.F.R. § 1.324 by adding the following persons as inventors in the above-identified patent:

**Gerold Endert**

As required pursuant to 37 C.F.R. § 1.324(b)(1), a signed statement from Gerold Endert is enclosed, indicating that the inventorship error in this patent occurred without any deceptive intent on his part.

As required pursuant to 37 C.F.R. § 1.324(b)(2), a signed statement from all of the inventors (i.e., Steffen Panzner, Stefan Fankhänel, Frank Essler, and Cornelia Panzner) is enclosed, consenting to the addition of Gerold Endert as an inventor in this patent.

As required pursuant to 37 C.F.R. §1.324(b)(3), a signed statement from the assignee is enclosed consenting to the addition of Gerold Endert as an inventor in this patent.

As required pursuant to 37 C.F.R. §1.324(b)(4), a check for \$130.00 is enclosed in payment of the fee required under 37 C.F.R. §1.20(b).

08/13/2009 BALANCED 00000049 7371404

01 FC:1464

130.00 OP

Express Mail Label No.: EM266573398US  
Date of Deposit: August 11, 2009

Attorney Docket No.: 33841-514001US

Applicants also enclose an executed Combined Declaration and Power of Attorney document.

If the Office has any questions concerning this request for correction of inventorship and/or the documents enclosed herewith, the Office is requested to contact the undersigned.

No additional fees are believed to be due for submission of this Petition. If, however, additional fees are due, the USPTO is authorized to charge or credit Deposit Account Number: **50-0311**, Customer Number: **35437**, Reference Number: **33841-514001US**.

Respectfully submitted,

Dated: August 11, 2009



---

Ivor R. Elrifi, Reg. No. 39,529  
Michelle A. Iwamoto, Reg. No. 55,296  
Attorney/Agent for Applicants  
c/o MINTZ, LEVIN, *et al.*  
666 Third Avenue-24<sup>th</sup> Floor  
New York, New York 10017  
Telephone: (212) 935-3000  
Telefax: (212) 983-3115



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

---

APPLICANTS :	Steffen Panzner, et al.	CONFIRMATION NUMBER :	N/A
PATENT NUMBER :	7,371,404	EXAMINER :	N/A
FILING DATE :	February 21, 2002	ART UNIT :	N/A
FOR :	Amphoteric Liposomes And Their Use		

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

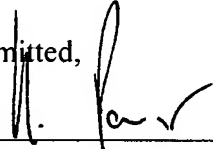
**WRITTEN CONSENT OF ASSIGNEE TO CORRECTION OF INVENTORSHIP**

Pursuant to 37 C.F.R. §1.324(b)(3), the assignee, **NOVOSOM AG**, hereby consents to the addition of the following persons as inventors of the above-referenced patent:

**Gerold Endert**

The undersigned hereby states that he or she is an official empowered to act on behalf of the assignee.

Respectfully submitted,

Name: 

Date: June 3rd, 2009

Title: Dr. Steffen Panzner  
Founder & CSO  
novosom AG

**NOVOSOM AG**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

---

APPLICANTS :	Steffen Panzner et al.	CONFIRMATION NUMBER :	N/A
PATENT NUMBER:	7,371,404	EXAMINER :	N/A
FILING DATE :	February 21, 2002	ART UNIT :	N/A
FOR :	Amphoteric Liposomes And Their Use		

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT UNDER 37 C.F.R. § 1.324(b)(1) FOR  
CORRECTION OF INVENTORSHIP**

This is a statement pursuant to 37 C.F.R. § 1.324(b)(1) from the undersigned, **Gerold Endert**, who is to be added as an inventor on this patent. The inventorship error occurred without any deceptive intent on my part.

---

Gerold Endert

Date:

June 4<sup>th</sup>, 2009



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

---

APPLICANTS :	Steffen Panzner et al.	CONFIRMATION NUMBER :	N/A
PATENT NUMBER:	7,371,404	EXAMINER :	N/A
FILING DATE :	February 21, 2002	ART UNIT :	N/A
FOR :	Amphoteric Liposomes And Their Use		

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT UNDER 37 C.F.R. § 1.324(b)(2) FOR  
CORRECTION OF INVENTORSHIP**

This is a statement pursuant to 37 C.F.R. § 1.324(b)(2) from the undersigned, **Steffen Panzner, Stefan Fankhänel, Frank Essler, and Cornelia Panzner**, who hereby agree to the addition of **Gerold Endert** as an inventor on this patent. The inventorship error occurred without any deceptive intent on our part.

---

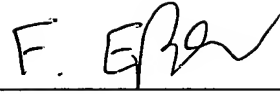
Steffen Panzner

Date:

---

Stefan Fankhänel

Date:



**Frank Essler**

Date: June 11<sup>th</sup>, 2009



**Cornelia Panzner**

Date: June 4<sup>th</sup>, 2009